

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Daniel J. O'Sullivan

Group Art Unit: 1651

Serial No.: 09/884,894

Examiner: : D. K. Ware

Filed: June 19, 2001

Docket No.: 110.01290101

Confirmation No.: 1710

Title: BIFIDOBACTERIA AND SIDEROPHORES PRODUCED THEREBY AND METHODS OF USE

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APR 05 2002

TECH CENTER 1600/2900

Assistant Commissioner for Patents  
Washington, D.C. 20231

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ORIGINALLY FILED**

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ An itemized return postcard.
- ☐ A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.
- ☒ An Information Disclosure Statement ( 2 pgs); 1449 form ( 1 pg); and copies of 3 documents cited on the 1449 form; and copy of International Search Report for PCT/US02/41036 (7 pgs.).
- ☒ A check in the amount of \$ 180.00 , representing payment for Information Disclosure Statement .
- ☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.
- ☐ Other: \_\_\_\_
- ☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

| Fee Calculation for Claims Pending After Amendment                            |                                          |                                |                                         |                                 |                             |
|-------------------------------------------------------------------------------|------------------------------------------|--------------------------------|-----------------------------------------|---------------------------------|-----------------------------|
|                                                                               | Pending Claims<br>after<br>Amendment (1) | Claims Paid for<br>Earlier (2) | Number of<br>Additional<br>Claims (1-2) | Cost per<br>Additional<br>Claim | Additional Fees<br>Required |
| Total Claims                                                                  |                                          |                                |                                         | x \$9 =                         |                             |
| Independent<br>Claims                                                         |                                          |                                |                                         | x \$42 =                        |                             |
| One or More New Multiple Dependent Claims Presented? If Yes, Add \$140 Here → |                                          |                                |                                         |                                 |                             |
| Total Additional Claim Fees Required                                          |                                          |                                |                                         |                                 |                             |

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 20 day of March, 2002.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813



26813

PATENT TRADEMARK OFFICE

By: David L. Provence

Name: David L. Provence

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04/16/02  
PATENT  
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### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington D.C. 20231

Sir:

In accordance with the continuing duty of candor and good faith that is to be demonstrated before the United States Patent and Trademark Office (USPTO), enclosed is a copy of three (3) documents cited in an International Search Report of PCT Application No. PCT/US01/41036, which is not already of record in the present application. Enclosed for the Examiner's information is a copy of the Search Report with the documents. Consideration of the documents listed on the attached 1449 form is respectfully requested. Pursuant to the provisions of MPEP §609, Applicants further request that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next official communication.

Since this Information Disclosure Statement is submitted after the receipt of an Office Action in the above-identified patent application, Applicant has included the fee of \$180 under 37 C.F.R. §§1.97(c) and 1.117(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 13-4895.

Under 1.704(d), the undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

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**Information Disclosure Statement**

Page 2

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The Examiner is invited to contact Applicant's Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 20 day of March, 2002.

David L. Provence

David L. Provence

March 20, 2002

Date

DLP/skd

Respectfully submitted for

Daniel J. O'Sullivan

By

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